

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: K. Ito et al. Attorney Docket No.: NAI1127770
Application No.: 10/585,592 Art Unit: 1796
Submitted: July 10, 2006 Confirmation No.: 4441
Title: **CROSSLINKED POLYROTAXANE AND
PROCESS FOR PRODUCING THE SAME**

REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101

August 19, 2009

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated April 2009 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs are included in this request.

II. Fees Charged for Which Refund Requested

| Fees charged | Amount of 2006 fees inadvertently charged | 2009 - actual amount owed | Difference |
|---|---|---------------------------|-----------------|
| 1614: Claims - extra independent (over 3): charged for 1 extra | \$ 200 | \$ 210 | < \$ 10 > |
| 1615: Claims - extra total (over 20): charged for 37 in 2006 (x \$50) There are only 17 extra claims (17 x \$52 in 2009 = \$884) | \$ 1,850 | \$ 884 | \$ 966 |
| 1616: Claims - multiple dependent | \$ 360 | - 0 - | \$ 360 |
| | <u>Total Refund Requested:</u> | | \$ 1,316 |

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{LLC}
1420 Fifth Avenue, Suite 2800
Seattle, Washington 98101
206.682.8100

III. Explanation of Why Contested Charge Is in Error

This national stage application was filed incomplete on July 10, 2006, i.e., without the declaration of the inventors. It is our understanding that claim fees are not to be charged to the deposit account before the response to the Notification of Missing Requirements is filed. However, claim fees were "calculated" and extra and multiple-dependent claim fees were charged to our Deposit Account on April 13, 2009, **before the Notification of Missing Requirements was mailed**. In response to the Notice, we submitted a Preliminary Amendment, amending both the correctly and incorrectly written multiple-dependent claims so that multiple-dependent claims no longer exist in this application, which was our original intention.

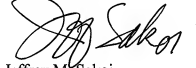
We have calculated the appropriate 2009 claim fees that were actually due with the Preliminary Amendment filed on June 16, 2009, and therefore request that the PTO refund the overcharge of \$1,316.

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}



Jeffrey M. Sakoi
Registration No. 32,059
Direct Dial No. 206.695.1713

JMS:ctg



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Deposit Account Statement

Requested Statement Month: April 2009
Deposit Account Number: 031740
Name: CHRISTENSEN O'CONNOR JOHNSON & KINDNESS
Attention:
Street Address 1: 2800 PACIFIC FIRST CENTRE
Street Address 2: 1420 FIFTH AVENUE
City: SEATTLE
State: WA
Zip: 98101
Country: UNITED STATES



| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|-------|--------------------|---------------------------|-------------|------------|------------|
| 04/01 | 1457 | 29283799 | THAS130003 | 1811 | \$100.00 | \$23,082.8 |
| 04/01 | 2927 | 77703415 | BRCI-2-38487 | 7001 | \$325.00 | \$22,757.8 |
| 04/01 | 3038 | 77703428 | BRCI-2-38495 | 7001 | \$325.00 | \$22,432.8 |
| 04/01 | 3391 | 77703448 | BRCI-2-38496 | 7001 | \$325.00 | \$22,107.8 |
| 04/01 | 3650 | 12415451 | PCCR130508 | 1111 | \$540.00 | \$21,567.8 |
| 04/01 | 3651 | 12415451 | PCCR130508 | 1311 | \$220.00 | \$21,347.8 |
| 04/01 | 3649 | 12415451 | PCCR130508 | 1011 | \$330.00 | \$21,017.8 |
| 04/01 | 4004 | 12415451 | PCCR-1-30508 | 8021 | \$40.00 | \$20,977.8 |
| 04/01 | 4442 | 10583202 | 34141-US-PCT | 1501 | \$1,510.00 | \$19,467.8 |
| 04/01 | 4446 | 10583202 | 34141-US-PCT | 1504 | \$300.00 | \$19,167.8 |
| 04/01 | 4998 | 11319763 | DESS126658 | 1251 | \$130.00 | \$19,037.8 |
| 04/01 | 4999 | 11319763 | DESS126658 | 1801 | \$810.00 | \$18,227.8 |
| 04/01 | 5550 | 12040545 | IRFR130718 | 8021 | \$40.00 | \$18,187.8 |
| 04/01 | 5755 | 61165318 | SNUS132484 | 2005 | \$110.00 | \$18,077.8 |
| 04/01 | 5757 | 61090557 | UTLX131806 | 8021 | \$40.00 | \$18,037.8 |
| 04/01 | 5863 | 61090503 | UTLX131791 | 8021 | \$40.00 | \$17,997.8 |
| 04/01 | 6147 | 2775861 | KROS-2-28876/32153 | 8522 | \$25.00 | \$17,972.8 |
| 04/01 | 6146 | 2775861 | KROS-2-28876/32153 | 8521 | \$40.00 | \$17,932.8 |
| 04/01 | 6294 | 61165333 | UTLX131793 | 1005 | \$220.00 | \$17,712.8 |
| 04/01 | 6495 | 77703638 | LAEN-2-38519 | 7001 | \$325.00 | \$17,387.8 |
| 04/01 | 6557 | 11519576 | BSME124981 | 1253 | \$1,110.00 | \$16,277.8 |
| 04/01 | 7187 | 12300780 | TYOS-1-32025 | 8021 | \$40.00 | \$16,237.8 |
| 04/01 | 7288 | 12300780 | TYOS-1-32025 | 8021 | \$40.00 | \$16,197.8 |
| 04/01 | 8375 | 61165434 | UWOTL132418 | 2005 | \$110.00 | \$16,087.8 |
| 04/01 | 10994 | 12397168 | UWOTL132976 | 2201 | \$110.00 | \$15,977.8 |
| 04/01 | 10995 | 12397168 | UWOTL132976 | 2051 | \$65.00 | \$15,912.8 |

| | | | | |
|----------------------------|------------------|------|--------------|------------|
| 04/09 14213 2821179 | SAGE-2-30200 | 7205 | \$100.00 | \$29,721.8 |
| 04/09 14214 2821179 | SAGE-2-30200 | 7208 | \$200.00 | \$29,521.8 |
| 04/10 903 77710663 | BLUE-2-38398 | 7001 | \$975.00 | \$28,546.8 |
| 04/10 6524 77711021 | SCLF-2-38575 | 7001 | \$325.00 | \$28,221.8 |
| 04/10 7131 11831849 | WOGL129809 | 2501 | \$755.00 | \$27,466.8 |
| 04/10 7132 11831849 | WOGL129809 | 1504 | \$300.00 | \$27,166.8 |
| 04/10 7598 11124916 | UWOTL124802 | 2251 | \$65.00 | \$27,101.8 |
| 04/10 7721 12107735 | LAMA131233 | 8007 | \$20.00 | \$27,081.8 |
| 04/10 7722 12107719 | LAMA131233 | 8007 | \$20.00 | \$27,061.8 |
| 04/10 7720 12107047 | LAMA131233 | 8007 | \$20.00 | \$27,041.8 |
| 04/10 8153 PCT/US09/40114 | UWOTL133019 | 1601 | \$240.00 | \$26,801.8 |
| 04/10 8156 PCT/US09/40114 | UWOTL133019 | 1709 | \$609.00 | \$26,192.8 |
| 04/10 8154 PCT/US09/40114 | UWOTL133019 | 1701 | \$1,019.00 | \$25,173.8 |
| 04/10 8155 PCT/US09/40114 | UWOTL133019 | 1703 | \$228.00 | \$24,945.8 |
| 04/10 8475 10801903 | PCCR122524 | 1252 | \$360.00 | \$24,585.8 |
| 04/10 8476 10801903 | PCCR122524 | 1801 | \$810.00 | \$23,775.8 |
| 04/10 8649 11590960 | MEIP127977 | 1501 | \$1,510.00 | \$22,265.8 |
| 04/10 8650 11590960 | MEIP127977 | 1504 | \$300.00 | \$21,965.8 |
| 04/10 2 10585593 | NAIH27769 | 1615 | \$1,100.00 | \$20,865.8 |
| 04/10 3 10585593 | NAIH27769 | 1616 | \$360.00 | \$20,505.8 |
| 04/13 610 2767348 | OCIN-2-24524 | 7205 | \$100.00 | \$20,405.8 |
| 04/13 611 2767348 | OCIN-2-24524 | 7208 | \$200.00 | \$20,205.8 |
| 04/13 1028 11471283 | AJGC127531 | 1251 | \$130.00 | \$20,075.8 |
| 04/13 1027 11471283 | AJGC127531 | 1202 | \$156.00 | \$19,919.8 |
| 04/13 1029 11471283 | AJGC127531 | 1801 | \$810.00 | \$19,109.8 |
| 04/13 1358 12445171 | NGBCP133155 | 1642 | \$430.00 | \$18,679.8 |
| 04/13 1357 12445171 | NGBCP133155 | 1631 | \$330.00 | \$18,349.8 |
| 04/13 1359 12445171 | NGBCP133155 | 1633 | \$220.00 | \$18,129.8 |
| 04/13 1960 10274415 | 130877 | 1801 | \$810.00 | \$17,319.8 |
| 04/13 5738 10986678 | WWWI123091 | 2251 | \$65.00 | \$17,254.8 |
| 04/13 5739 10986678 | WWWI123091 | 2801 | \$405.00 | \$16,849.8 |
| 04/13 5737 10986678 | WWWI123091 | 1454 | \$1,410.00 | \$15,439.8 |
| 04/13 6264 11378676 | LAMA127290 | 1253 | \$1,110.00 | \$14,329.8 |
| 04/13 6425 7488213 | PCCR-1-30787 SAH | 8013 | \$25.00 | \$14,304.8 |
| 04/13 6523 12240901 | XCER131502 | 2254 | \$310.00 | \$13,994.8 |
| 04/13 6567 12240820 | XCER131482 | 2254 | \$310.00 | \$13,684.8 |
| 04/13 25 10585592 | NAIH27770 | 1614 | \$200.00 | \$13,484.8 |
| 04/13 26 10585592 | NAIH27770 | 1616 | \$360.00 | \$13,124.8 |
| 04/13 24 10585592 | NAIH27770 | 1615 | \$1,850.00 | \$11,274.8 |
| 04/13 9025 E-REPLENISHMENT | | 9203 | -\$20,000.00 | \$31,274.8 |
| 04/13 10188 3604541 | NOAM2021318 | 8504 | \$30.00 | \$31,244.8 |
| 04/13 34 12384923 | UWOTL131715 | 1464 | \$130.00 | \$31,114.8 |
| 04/13 10682 12442094 | DAISAN132450 | 1617 | \$130.00 | \$30,984.8 |
| 04/13 11258 12442094 | DAISAN-1-32540 | 8021 | \$40.00 | \$30,944.8 |
| 04/14 6103 61199119 | WWWI132166 | 8021 | \$40.00 | \$30,904.8 |
| 04/14 7240 12422824 | ANMR133152 | 2311 | \$110.00 | \$30,794.8 |
| 04/14 7239 12422824 | ANMR133152 | 2111 | \$270.00 | \$30,524.8 |
| 04/14 7238 12422824 | ANMR133152 | 4011 | \$82.00 | \$30,442.8 |

